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The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM D

Notice of Exempt Offering of Securities

OMB APPROVAL

OMB Number:	3235-0076
Estimated average burden hours per response:	4.00

1. Issuer's Identity

CIK (Filer ID Number)	Previous Names	None	Entity Type
0001626199	Nivalis Therapeutics, Inc. N30 Pharmaceuticals, Inc.		<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> General Partnership <input type="checkbox"/> Business Trust <input type="checkbox"/> Other (Specify)
Name of Issuer			
ALPINE IMMUNE SCIENCES, INC.			
Jurisdiction of Incorporation/Organization			
DELAWARE			
Year of Incorporation/Organization			
<input checked="" type="checkbox"/> Over Five Years Ago			
<input type="checkbox"/> Within Last Five Years (Specify Year)			
<input type="checkbox"/> Yet to Be Formed			

2. Principal Place of Business and Contact Information

Name of Issuer		Street Address 1		Street Address 2	Phone Number of Issuer
ALPINE IMMUNE SCIENCES, INC.		188 EAST BLAINE ST., SUITE 200			
City	State/Province/Country	ZIP/PostalCode			
SEATTLE	WASHINGTON	98102	206-788-4545		

3. Related Persons

Last Name	First Name	Middle Name
Rickey	James	Paul
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: <input checked="" type="checkbox"/> Executive Officer <input type="checkbox"/> Director <input type="checkbox"/> Promoter		

Clarification of Response (if Necessary):

Senior Vice President and Chief Financial Officer

Last Name	First Name	Middle Name
Gold	Mitchell	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: <input checked="" type="checkbox"/> Executive Officer <input checked="" type="checkbox"/> Director <input type="checkbox"/> Promoter		

Clarification of Response (if Necessary):

Executive Chairman and Chief Executive Officer

Last Name	First Name	Middle Name
Peng	Stanford	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: X Executive Officer Director Promoter		

Clarification of Response (if Necessary):

President and Head of Research and Development

Last Name	First Name	Middle Name
Conway	Robert	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: Executive Officer X Director Promoter		

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Peetz	Christopher	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: Executive Officer X Director Promoter		

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Hernday	Natasha	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: Executive Officer X Director Promoter		

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
Thompson	Peter	
Street Address 1	Street Address 2	
188 East Blaine St., Suite 200		
City	State/Province/Country	ZIP/PostalCode
Seattle	WASHINGTON	98102
Relationship: Executive Officer X Director Promoter		

Clarification of Response (if Necessary):

Last Name	First Name	Middle Name
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Topper
Street Address 1
188 East Blaine St., Suite 200
City
Seattle

James
Street Address 2

State/Province/Country
WASHINGTON
ZIP/PostalCode
98102

Relationship: Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name
Venkatesan
Street Address 1
188 East Blaine St., Suite 200
City
Seattle

First Name
Jay
Street Address 2

State/Province/Country
WASHINGTON
ZIP/PostalCode
98102

Middle Name

ZIP/PostalCode
98102

Relationship: Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name
Xiangmin
Street Address 1
188 East Blaine St., Suite 200
City
Seattle

First Name
Cui
Street Address 2

State/Province/Country
WASHINGTON
ZIP/PostalCode
98102

Middle Name

ZIP/PostalCode
98102

Relationship: Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name
Goldberg
Street Address 1
188 East Blaine St., Suite 200
City
Seattle

First Name
Zelanna
Street Address 2

State/Province/Country
WASHINGTON
ZIP/PostalCode
98102

Middle Name

ZIP/PostalCode
98102

Relationship: Executive Officer Director Promoter

Clarification of Response (if Necessary):

4. Industry Group

- Agriculture
- Banking & Financial Services
 - Commercial Banking
 - Insurance
 - Investing
 - Investment Banking
 - Pooled Investment Fund
- Is the issuer registered as an investment company under the Investment Company Act of 1940?
 - Yes
 - No
- Other Banking & Financial Services
- Business Services
- Energy

- Health Care
 - Biotechnology
 - Health Insurance
 - Hospitals & Physicians
 - Pharmaceuticals
 - Other Health Care
- Manufacturing
- Real Estate
 - Commercial
 - Construction
- REITS & Finance
- Residential

- Retailing
- Restaurants
- Technology
 - Computers
 - Telecommunications
 - Other Technology
- Travel
 - Airlines & Airports
 - Lodging & Conventions
 - Tourism & Travel Services
 - Other Travel
- Other

Coal Mining

Other Real Estate

Electric Utilities

Energy Conservation

Environmental Services

Oil & Gas

Other Energy

5. Issuer Size

Revenue Range	OR	Aggregate Net Asset Value Range
No Revenues		No Aggregate Net Asset Value
\$1 - \$1,000,000		\$1 - \$5,000,000
\$1,000,001 - \$5,000,000		\$5,000,001 - \$25,000,000
\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000
\$25,000,001 - \$100,000,000		\$50,000,001 - \$100,000,000
Over \$100,000,000		Over \$100,000,000
X Decline to Disclose		Decline to Disclose
Not Applicable		Not Applicable

6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

	Investment Company Act Section 3(c)	
Rule 504(b)(1) (not (i), (ii) or (iii))	Section 3(c)(1)	Section 3(c)(9)
Rule 504 (b)(1)(i)	Section 3(c)(2)	Section 3(c)(10)
Rule 504 (b)(1)(ii)	Section 3(c)(3)	Section 3(c)(11)
Rule 504 (b)(1)(iii)	Section 3(c)(4)	Section 3(c)(12)
X Rule 506(b)	Section 3(c)(5)	Section 3(c)(13)
Rule 506(c)	Section 3(c)(6)	Section 3(c)(14)
Securities Act Section 4(a)(5)	Section 3(c)(7)	

7. Type of Filing

X New Notice Date of First Sale 2021-09-17 First Sale Yet to Occur
Amendment

8. Duration of Offering

Does the Issuer intend this offering to last more than one year? Yes X No

9. Type(s) of Securities Offered (select all that apply)

X Equity	Pooled Investment Fund Interests
Debt	Tenant-in-Common Securities
X Option, Warrant or Other Right to Acquire Another Security	Mineral Property Securities
X Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security	Other (describe)

10. Business Combination Transaction

Is this offering being made in connection with a business combination transaction, such as a merger, acquisition or exchange offer? Yes X No

Clarification of Response (if Necessary):

11. Minimum Investment

Minimum investment accepted from any outside investor \$0 USD

12. Sales Compensation

Recipient

Recipient CRD Number X None

(Associated) Broker or Dealer X None

(Associated) Broker or Dealer CRD Number

X None

Street Address 1

Street Address 2

City State/Province/Country

ZIP/Postal Code

State(s) of Solicitation (select all that apply)

Check "All States" or check individual States

All States

Foreign/non-US

13. Offering and Sales Amounts

Total Offering Amount \$91,003,125 USD or Indefinite

Total Amount Sold \$90,999,934 USD

Total Remaining to be Sold \$3,191 USD or Indefinite

Clarification of Response (if Necessary):

The Issuer issued and sold 6,489,357 shares and 3,191,487 prefunded warrants to purchase shares at an exercise price of \$0.001 per share. The purchase price of the shares and the prefunded warrants was \$9.40 per security.

14. Investors

Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of such non-accredited investors who already have invested in the offering.

Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:

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15. Sales Commissions & Finder's Fees Expenses

Provide separately the amounts of sales commissions and finders fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount.

Sales Commissions \$0 USD Estimate

Finders' Fees \$0 USD Estimate

Clarification of Response (if Necessary):

16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$0 USD Estimate

Clarification of Response (if Necessary):

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to

furnish them, upon written request, in the accordance with applicable law, the information furnished to offerees.*

- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Rule 504 or Rule 506 for one of the reasons stated in Rule 504(b)(3) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
ALPINE IMMUNE SCIENCES, INC.	/s/ James Paul Rickey	James Paul Rickey	Senior VP and CFO	2021-09-29

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.
